

FACTS	WHAT DOES 1ST PORTFOLIO WEALTH ADVISORS DO WITH YOUR PERSONAL INFORMATION?	
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> • Social Security number and Income • Account balances and transaction history • Account transactions and risk tolerance 	
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons 1 ST Portfolio Wealth Advisors chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information		
	Does 1st Portfolio Wealth Advisors share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes – information about your transactions and experiences	No	We don't share
For our affiliates' everyday business purposes – information about your creditworthiness	No	We don't share
For our affiliates to market to you	Yes	Yes
For nonaffiliates to market to you	No	We don't share
To Limit our sharing	<ul style="list-style-type: none"> • Call 866-974-3778—our menu will prompt you through your choices. <p>Please note: if you are a new customer, we can begin sharing your information 30 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>	
Questions?	Contact our Compliance Officer at (703) 821-5554.	

Who we are

Who is providing this notice?

1st Portfolio Wealth Advisors

What we do

How does 1st Portfolio Wealth Advisors protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Each employee is required to follow our Company's "Code of Ethics," which states that all customer information is considered private and privileged.

How does 1st Portfolio Wealth Advisors collect my personal information?

We collect your personal information, for example, when you:

- Enter into an investment advisory contract and seek advice about your investments
- Tell us about your investment or retirement portfolio
- Give us your contact information and give us your income information

We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- Sharing for affiliates' everyday business purposes – information about your creditworthiness
- Affiliates from using your information to market to you
- Sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

Your choices will apply to everyone on your account.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Our affiliates include financial companies such as banks, investment advisors and mortgage companies.*

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *1st Portfolio Wealth Advisors does not share with nonaffiliates so that they can market to you.*

Joint Marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *1st Portfolio Wealth Advisors does not jointly market to you.*